

Attorney Docket No. 20075610-0018

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

von Hoersten, S. et al.

Patent No. 7132104

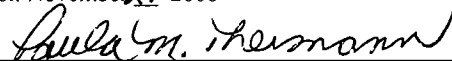
Issue Date: November 7, 2006

For: Modulation of Central Nervous  
System (CNS) Dipeptidyl Peptidase  
IV (DPIV) -Like Activity for the  
Treatment of Neurological and  
Neuropsychological Disorders

) Group Art Unit: 1649

) Examiner: Chernyshev, O. N.

) I hereby certify that this document is being deposited  
) with the U.S. Patent Office via electronic transmission  
) on November 29, 2006

)   
) Paula M. Theismann

Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR  
CERTIFICATE OF CORRECTION**

Applicant respectfully requests the issuance of the attached Certificate of Correction.

The errors are as follows:

(75) Inventors:

“Stephan von Horsten” should be

--Stephan von Hoersten--

In the Claims at:

Column 36 - Line 50

“con g”

should be --consisting--

With respect to the correction of the spelling of co-inventor, Stephan von Hoersten’s name, applicants submit a copy of the Decision of the Petition under 37 CFR 1.182 dated October 2, 2006, as well as a copy of the official filing receipt, granting applicant’s request to change the spelling of co-inventor’s name

These corrections are not due to any error on the part of the applicants. Thus, no fee is due.

The Commissioner is hereby authorized to charge the extension fee and any additional fees which may be required, or to credit any overpayment to Account No. 19-3140.

Respectfully submitted,

Dated: November 29, 2006

By: David R. Metzger  
David R. Metzger  
Registration No. 32,919  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF CORRECTION

PATENT NO : 7,132,104

DATED : November 7, 2006

INVENTOR(S) : Stephan von Hoersten; Ants Kask; Hans-Ulrich Demuth; Huu Phuc Nguyen;  
Susanne Kruber; Matthias Hoffmann

It is certified that error appears in the above-identified patent and that said Letters  
Patent are hereby corrected as shown below:

(75) INVENTORS:

"Stephan von Horsten"

should be

--Stephan von Hoersten--

IN THE CLAIMS

Column 36; Line 50

"con g"

should be --consisting--

PATENT NO. 7,132,104

MAILING ADDRESS OF SENDER: David R. Metzger  
SONNENSCHN NATH & ROSENTHAL LLP  
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OCT 02 2006

OFFICE OF PETITIONS

In re Application of  
von Hoersten, et al.  
Application No. 10/014,291  
Filed: October 26, 2001  
Attorney Docket No. 20075610-0018

:  
:  
: ON PETITION  
:  
:

This is in response to the petition under 37 CFR 1.182, filed  
April 6, 2006.

Applicants have requested to correct the spelling of the name of  
inventor Stephan von Horsten to Stephan von Hoersten.

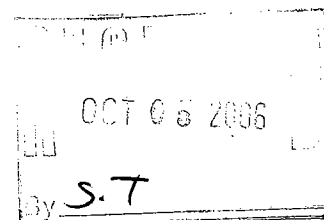
Accordingly, the request is **GRANTED**.

Office records have been changed to reflect the change in the  
spelling of the inventor's name.

Telephone inquiries regarding this decision should be directed to  
the undersigned at (571)272-3207.

Cliff Congo  
Petitions Attorney  
Office of Petitions

Enc: corrected filing receipt (3 pages)





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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
0/014,291	10/26/2001	1649	370	20075610-0018-002	11	6	2

CONFIRMATION NO. 9996

CORRECTED FILING RECEIPT

\*OC000000020598022\*

\*OC000000020598022\*

2932  
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 FOR PAULA EVANS  
 P.O. BOX 061080  
 WACKER DRIVE STATION, SEARS TOWER  
 CHICAGO, IL 60606-1080

Date Mailed: 09/27/2001

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephan von Hoersten, Wedemark, GERMANY;  
 Ants Kask, Tallinn, ESTONIA;  
 Hans-Ulrich Demuth, Halle, GERMANY;  
 Huu Phuc Nguyen, Hannover, GERMANY;  
 Susanne Kruber, Halle, GERMANY;  
 Matthias Hoffmann, Wengelsdorf, GERMANY;

**Power of Attorney:** The patent practitioners associated with Customer Number 29932.

## Domestic Priority data as claimed by applicant

This appln claims benefit of 60/244,036 10/27/2000

## Foreign Applications

If Required, Foreign Filing License Granted: 01/22/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/014,291**

**Projected Publication Date:** Request for Non-Publication Acknowledged

**Non-Publication Request:** Yes

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\***

**Title**

MODULATION OF CENTRAL NERVOUS SYSTEM (CNS) DIPEPTIDYL PEPTIDASE IV (DPIV) -LIKE

## ACTIVITY FOR THE TREATMENT OF NEUROLOGICAL AND NEUROPSYCHOLOGICAL DISORDERS

**Preliminary Class**

424

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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